

## FERENCE & ASSOCIATES

400 Broad Street  
Pittsburgh, Pennsylvania 15143  
Phone: (412) 741-8400  
Fax: (412) 741-9292  
Web: www.ferencelaw.com

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**OCT 21 2004**

### USPTO FACSIMILE COVER SHEET

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To: Commissioner for Patents  
Fax Number: (703) 872-9314  
Date: October 21, 2004  
Pages: 6 pages (including this cover sheet)

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#### MESSAGE:

Application Serial No. 09/670,251  
Examiner M. Lerner  
Art Unit 2654

Response to Notice of Non-Compliant Amendment  
Replacement Amendment to the Specification  
Copy of Notice of Non-Compliant Amendment

YOR920000390US1  
(590.023)

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Atty. Docket No. YOR920000390US1  
(590.023)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of : Padmanabhan et al.

OCT 21 2004

Serial No. : 09/670,251

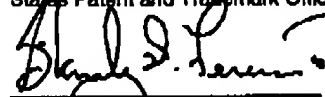
Examiner : M. Lerner

Filed : September 26, 2000

Art Unit : 2654

For : LATTICE-BASED UNSUPERVISED MAXIMUM  
LIKELIHOOD LINEAR REGRESSION FOR  
SPEAKER ADAPTATION

October 21, 2004

**RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT (37 CFR 1.121)**Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450I hereby certify that this correspondence and any  
documents referred to as enclosed therewith are being  
facsimile transmitted on (703) 872-9314 to the United  
States Patent and Trademark Office on October 21, 2004.Stanley D. Ference III  
Reg. No. 33,879October 21, 2004  
Date of Signature

Sir:

In response to the Notice of Non-Compliant Amendment (37 CFR 1.121) dated October 18, 2004, Applicant submits herewith replacement pages for the Amendment to the Specification section of the Amendment dated August 19, 2004. Please substitute the enclosed page 2 for page 2 appearing in the Amendment dated August 19, 2004. The second right parenthesis in the second sentence has been underlined. This change was also discussed in the Remarks section of the Amendment dated August 19, 2004.

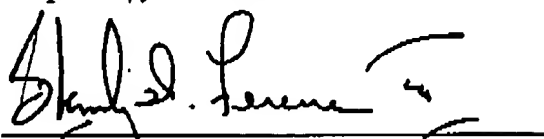
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A copy of the Notice of Non-Compliant Amendment is attached.

Since the addition of the second right parenthesis has been identified by underlining, it is submitted that the Amendment is now complete and in form for examination. Accordingly, such examination and prompt allowance are earnestly solicited.

Respectfully submitted,



Stanley D. Ference III  
Registration No. 33,879

**Customer Number 35195**  
**FERENCE & ASSOCIATES**  
400 Broad Street  
Pittsburgh, Pennsylvania 15143  
(412) 741-8400  
(412) 741-9292 - Facsimile

Enclosures

Attorneys for Applicants



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/670,251	09/26/2000	Mukund Padmanabhan	YOR92000390 (590.023)	5892
35195 7590 10/18/2004				
FERENCE & ASSOCIATES 400 BROAD STREET PITTSBURGH, PA 15143				
			EXAMINER	
			LERNER, MARTIN	
		ART UNIT	PAPER NUMBER	
		2654		

DATE MAILED: 10/18/2004

Please find below and/or attached an Office communication concerning this application or proceeding.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450  
www.uspto.gov

## Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment document filed on 8/19/04 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121. In order for the amendment document to be compliant, correction of the following item(s) is required. Only the corrected section of the non-compliant amendment document must be resubmitted (in its entirety), e.g., the entire "Amendments to the claims" section of applicant's amendment document must be re-submitted. 37 CFR 1.121(h).

## THE FOLLOWING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☒ 1. Amendments to the specification:
- ☒ A. Amended paragraph(s) do not include markings.
  - ☐ B. New paragraph(s) should not be underlined.
  - ☐ C. Other \_\_\_\_\_
- ☐ 2. Abstract:
- ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
  - ☐ B. Other \_\_\_\_\_
- ☐ 3. Amendments to the drawings: \_\_\_\_\_
- ☐ 4. Amendments to the claims:
- ☐ A. A complete listing of all of the claims is not present.
  - ☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
  - ☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).
  - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
  - ☐ E. Other: \_\_\_\_\_

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dann/opls/notice/officeflyer.pdf>.

If the non-compliant amendment is a **PRELIMINARY AMENDMENT**, applicant is given **ONE MONTH** from the mail date of this letter to supply the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in non-entry of the preliminary amendment and examination on the merits will commence without consideration of the proposed changes in the preliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this **ONE MONTH** time limit is **not extendable**.

If the non-compliant amendment is a reply to a **NON-FINAL OFFICE ACTION** (including a submission for an RCE), and since the amendment appears to be a *bona fide* attempt to be a reply (37 CFR 1.135(c)), applicant is given a **TIME PERIOD** of **ONE MONTH** from the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 in order to avoid abandonment. **EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).**

If the amendment is a reply to a **FINAL REJECTION**, this form may be an attachment to an Advisory Action. The period for response to a final rejection continues to run from the date set in the final rejection, and is not affected by the non-compliant status of the amendment.

*Marie Roberts*  
Legal Instruments Examiner (LIE)

7033050500  
Telephone No.